

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

Screen Shots of prototype of Newport Application's operating program.

**Newport**

File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

By Client & Currency

Orders

- All (Today)
- MAM
  - AUD
  - JPY
  - USD
  - NOK
  - EUR
  - GBP
  - SSGA
  - USD

**Inbox Blotter**

Blotter Name New Requests

1. Event All (Plain)

	Client	RIC	Quantity
New		BT.L	3,400.00
New		DIEGn.DE	10,000.00

Accept Reject

**Blotter**

Blotter Name Sells

Cancel Lock Unlock

Nominal	Traded	Residual	Impact	Cost	Opport	Pct_Cmpl	Pct_Vol
13,000.00	12,148.00	852.00	-2.07	-8.09	-93.85	0.93	0.01
3,000.00	826.00	2,374.00	-74.50	-43.68	-35.57	0.21	0.01
27,000.00	8,885.00	18,315.00	54.83	4.17	-19.88	0.32	0.24
2,000.00	498.00	1,502.00	83.19	7.51	-17.58	0.25	0.00
3,500.00	1,115.00	2,385.00	5.01	49.31	70.02	0.32	0.34
6,000.00	4,587.00	1,413.00	-106.79	-50.89	130.55	0.76	0.03
2,800.00	1,701.00	899.00	-71.94	66.08	327.26	0.65	0.09
2,200.00	1,584.00	616.00	-3.99	-8.88	-21.37	0.72	0.04
15,400.00	5,221.00	10,179.00	-0.92	15.83	24.12	0.34	0.05
10,010.00	9,357.00	653.00	-11.87	-5.94	76.26	0.93	0.07

**Outbox Blotter**

Blotter Name Active

Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WAD03310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WAD03310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WAD03310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WAD03310LN	OD0039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start

M... In... W... p6... C... Ne... Do...

11:49 AM

Newport

File Edit Search View Order Line Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
- MAM
  - ALD
  - JPY
  - USD
  - NOK
  - EUR
  - GBP
- SSGA
  - USD

Inbox Blotter

Blotter Name All (Plain)

Accept Reject

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTEGn.DE	10,000.00

Blotter

Blotter Name Buys

Cancel Lock Unlock

Side	CCY	1. RicC...	Instinet	Description	2. Client	Price	Strike
B	JPY	4602.T	J4602	TAKEDA CHEMICAL #4602	MAM	6,467.37	6,449.38
B	JPY	8971.T	J8971	KYOCERA CORP #8971	MAM	17,339.43	17,439.85
B	JPY	8999.T	J8999	KOA CORP #8999	MAM	3,172.47	3,164.03
B	JPY	9401.T	J9401	TBS #9401	MAM	4,506.57	4,501.68
B	JPY	9982.T	J9982	MISUMI CORP #9982	MAM	10,809.01	10,773.42
B	GBP	AMT.L	AMT.L	AMSTRAD PLC	MAM	215.62	215.30
B	EUR	ASMI.AS	ASMI.I	ASM INTL	MAM	31.01	31.00
B	GBP	BPAL	BPAL	BP AMOCO	MAM	599.93	597.16
B	AUD	CSLAX	CSL.I	CSL LIMITED	MAM	34.15	34.34
B	USD	DCFT.SI	DCFT.I	DATA CRAFT ASIA	MAM	7.70	7.69

Outbox Blotter

Blotter Name Active

Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.O	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start

MANA... Instinet... WordP... p6\_1x C:\W... Newport... 11:14 AM

Newport
File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Pane
By Client & Currency

Orders

- All (Today)
- MAM
  - AUD
  - JPY
  - USD
  - NOK
  - EUR
  - GBP
- SSGA
  - USD

Inbox Blotter
Blotter Name Cxl Requests
Accept Reject

1. Event	Side	2. Client	RIC	Quantity
Cxl	B	UCRED	BT.L	2,000.00
				2,000.00

Blotter
Blotter Name Buys
Cancel Lock Unlock

Side	CCY	1. RicC...	Instinet	Description	2. Client	Price	Strike
B	JPY	4502.T	J4502	TAKEDA CHEMICAL #4502	MAM	6,467.37	6,449.38
B	JPY	8971.T	J8971	KYOCERA CORP #8971	MAM	17,339.43	17,439.85
B	JPY	8999.T	J8999	KOA CORP #8999	MAM	3,172.47	3,184.03
B	JPY	9401.T	J9401	TBS #9401	MAM	4,506.57	4,501.88
B	JPY	9982.T	J9982	MISUMI CORP #9982	MAM	10,809.01	10,773.42
B	GBP	AMT.L	AMT.L	AMSTRAD PLC	MAM	215.82	215.30
B	EUR	ASMI.LAS	ASMI.L	ASM INTL	MAM	31.01	31.00
B	GBP	BPAL	BPAL	BP AMOCO	MAM	599.93	597.16
B	AUD	CSLAX	CSL.L	CSL LIMITED	MAM	34.15	34.34
B	USD	DCFT.SI	DCFT.L	DATA CRAFT ASIA	MAM	7.70	7.69

Outbox Blotter
Blotter Name Active
Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start
11:38 AM

Newport

File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
- MAM
  - AUD
  - JPY
  - USD
  - NOK
  - EUR
  - GBP
- SSGA
  - USD

Inbox Blotter

Blotter Name New Requests

Accept Reject

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,400.00
New	S	MAM	OTEGn.DE	10,000.00

Blotter

Blotter Name Buys

Cancel Lock Unlock

Side	CCY	1. RicC...	Instinet	Description	2. Client	Price	Strike
B	JPY	4502.T	J4502	TAKEDA CHEMICAL #4502	MAM	6,487.37	6,449.38
B	JPY	8971.T	J8971	KYOCERA CORP #8971	MAM	17,339.43	17,439.85
B	JPY	8999.T	J8999	KOA CORP #8999	MAM	3,172.47	3,164.03
B	JPY	9401.T	J9401	TBS #9401	MAM	4,509.57	4,501.68
B	JPY	9982.T	J9982	MISUMI CORP #9982	MAM	10,800.01	10,773.42
B	GBP	AMT.L	AMT.L	AMSTRAD PLC	MAM	215.82	215.30
B	EUR	ASMI.AS	ASMI.I	ASM INTL	MAM	31.01	31.00
B	GBP	BPAL	BPAL	BP AMOCO	MAM	599.93	597.16
B	AUD	CSLAX	CSL.I	CSL LIMITED	MAM	34.15	34.34
B	USD	DCFT.SI	DCFT.I	DATA CRAFT ASIA	MAM	7.70	7.69

Outbox Blotter

Blotter Name Active

Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start

IM In W p6 C Ne Do

NOV 11:38 AM

Newport

File Edit Search View Order Line Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Pane

By Client & Currency

Orders

- All (Today)
- MAM
  - AUD
  - JPY
  - USD
  - NOK
  - EUR
  - GBP
- SSGA
  - USD

Inbox Blotter

Blotter Name New Requests

1. Event Side 2. Client RIC Quantity

New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTEOn.DE	10,000.00

Blotter

Blotter Name Sells

Side Blotter Summary

- Buy
- Client Summary
- Currency Summary
- Large Orders
- Open Orders
- Plain
- Sells

Side	Ric Code	Instinet	Description	2. Client	Price	Strike
S	J4603		YAMANOU...	MAM	5,399.57	5,400.00
S	J8947		ZUKEN INC ...	MAM	2,789.08	2,800.00
S	J7465		PARIS MIKI I...	MAM	6,228.25	6,192.30
S	J7513		KOJIMA ...	MAM	3,959.87	3,938.91
S	J7874		NINTENDO ...	MAM	17,408.89	17,398.18
S	J8056		NIHON UNIS...	MAM	1,788.10	1,807.40
S	J9854		KOEI CO LT...	MAM	3,528.14	3,553.70
S	JPY	9885.T	NISSHO ELE...	MAM	2,689.68	2,690.75
S	USD	AIG	AMERICAN I...	MAM	121.01	121.02
S	USD	AXP	AMERICAN ...	SSGA	58.44	58.51

Outbox Blotter

Blotter Name Active

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LD	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LD	SELL	NASDAQ	ACTIVE	MSFT.O	500,000.00	100,000.00
WA003310LN	OD0039214LD	BUY	LSE	ACTIVE	GLXD.L	500,000.00	100,000.00
WA003310LN	OD0039215LD	SELL	LSE	ACTIVE	BPAL	100,000.00	60,000.00

Start

MA... Inb... Wor... p61x... C:\... New... Doc... 11:43 AM

Newport

File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instant Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
  - MAM
    - AUD
    - JPY
    - USD
    - NOK
    - EUR
    - GBP
  - SSGA
    - USD

Inbox Blotter

Blotter Name New Requests

Accept Reject

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,490.00
New	S	MAM	DTEGn.DE	10,000.00

Blotter

Blotter Name Blotter Summ.

Cancel Lock Unlock

1. Side	Price	Strike	Nominal	Traded	Residual	Impact	Cost
B	46,728.78	46,780.00	280,860.00	184,634.00	116,016.00	-212.42	-805.23
S	60,201.02	60,183.68	1,039,259.00	435,716.00	603,543.00	409.34	187.92
	65,929.80	65,944.62	1,319,909.00	800,350.00	719,559.00	108.91	-407.31

Outbox Blotter

Blotter Name Active

Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start

Start In W... Sp... C... Ne... Do...

11:39 AM





Newport

File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
- MAM
  - AUD
  - JPY
  - USD
  - NOK
  - EUR
  - GBP
  - SSGA
  - USD

Inbox Blotter

Blotter Name New Requests

Accept Reject

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,480.00
New	S	MAM	DTEGn.DE	10,000.00

Blotter

Blotter Name Currency Sum...

Cancel Look Unlock

	Price	Strike	Nominal	Traded	Residual	Impact	Cost	Opport	Pct...
B AUD	34.15	34.34	4,800.00	4,102.00	488.00	55.05	44.04	-46.57	0.89
B EUR	691.83	689.94	79,350.00	55,432.00	23,918.00	-125.92	-219.20	-283.14	3.76
B GBP	2,831.51	2,832.47	144,000.00	89,488.00	74,514.00	-39.15	-43.36	-78.86	1.23
B JPY	42,294.85	42,328.35	34,200.00	21,514.00	12,886.00	-40.90	-336.63	-769.63	2.43
B NOK	168.94	168.18	1,800.00	1,033.00	587.00	-45.38	-4.50	-70.02	0.65
B USD	7.70	7.69	16,800.00	13,067.00	3,833.00	-16.15	-45.88	-146.34	0.77
B	45,728.78	45,760.98	280,850.00	184,834.00	116,016.00	-212.42	-605.23	-1,264.01	9.73
S AUD	26.08	26.05	59,300.00	28,755.00	30,545.00	3.29	-31.46	-31.94	1.33
S EUR	827.48	825.23	89,702.00	80,415.00	29,287.00	208.24	258.76	246.23	5.33
S GBP	3,668.38	3,650.37	358,177.00	75,482.00	282,695.00	68.63	-36.87	-99.41	1.61

Outbox Blotter

Blotter Name Active

Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXOL	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPA.L	100,000.00	50,000.00

Start

11:41 AM

Newport

File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instant Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
- MAM
  - AUD
  - JPY
  - USD
  - NOK
  - EUR
  - GBP
  - SSGA
  - USD

Inbox Blotter

Blotter Name New Requests

Accept Reject

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTEGn.DE	10,000.00

Blotter

Blotter Name Large Orders

Cancel Lock Unlock

1. Nominal	2. Client	3. CCY	RicCode	Instinet	Description	Price
20,790.00	SSGA	S	USD	INTC.O	INTC CORP	141
20,800.00	MAM	B	GBP	AMT.L	AMSTRAD PLC	215
21,560.00	SSGA	S	USD	BAC	BANK OF AMER CORP	47
21,560.00	MAM	S	USD	LU	LUCENT TECHNOLOGIES	66
22,330.00	MAM	S	USD	CMB	CHASE MAN CORP (NEW)	61
24,640.00	MAM	S	USD	BMV	BRISTOL MYERS SQUIBB	61
26,950.00	SSGA	S	USD	WCOM.O	WORLD COM INC GA NEW	44
27,000.00	MAM	S	JPY	7455.T	PARIS MIKI INC #7455	8,228
28,400.00	MAM	B	EUR	ASMI.AS	ASM INTL	31
28,480.00	MAM	S	USD	DIS	WALT DISNEY HLDG CO	37

Outbox Blotter

Blotter Name Active

Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.O	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXD.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPA.L	100,000.00	50,000.00

Start

11:41 AM

Newport

File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
- MAM
  - AUD
  - JPY
  - USD
  - NOK
  - EUR
  - GBP
- SSGA
  - USD

Inbox Blotter

Blotter Name New Requests

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,490.00
New	S	MAM	DTEGn.DE	10,000.00

Blotter

Blotter Name Open Orders

1. Client	2. Side	3. RIC	Instinet	Description	Nominal	Traded
MAM	B	AUD	CSLAX	CSL.L	4,800.00	4,102.00
MAM	B	EUR	SAQM.PA	SAGN.I	3,200.00	2,481.00
MAM	B	EUR	NOK1V.HE	NOKA.I	3,800.00	1,656.00
MAM	B	EUR	EON6.DE	EOAG.I	10,400.00	5,251.00
MAM	B	EUR	LHAG.DE	LHAG.I	18,250.00	3,160.00
MAM	B	EUR	STM.PA	SGSF.I	17,300.00	15,015.00
MAM	B	EUR	ASMLAS	ASML.I	28,400.00	27,839.00
MAM	B	GBP	AMT.L	AMT.L	20,800.00	8,388.00
MAM	B	GBP	BPA.L	BPA.L	48,200.00	11,588.00
MAM	B	GBP	GLXO.L	GLXO.L	75,000.00	51,512.00

Outbox Blotter

Blotter Name Active

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.O	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPA.L	100,000.00	50,000.00

Start

11:41 AM

Newport

File Edit Search View Order Line Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instant Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
- MAM
  - AUD
  - JPY
  - USD
  - NOK
  - EUR
  - GBP
- SSGA
  - USD

Inbox Blotter

Blotter Name New Requests

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,490.00
New	S	MAM	D.TEGn.DE	10,000.00

Blotter

Blotter Name Sells

Nominal	Traded	Residual	Impact	Cost	Opport	Pct_Cmpl	Pct_Vol
13,000.00	12,148.00	852.00	-2.07	-8.08	-93.85	0.93	0.01
3,000.00	628.00	2,374.00	-74.50	-43.68	-35.57	0.21	0.01
27,000.00	8,685.00	18,315.00	54.83	4.17	-19.88	0.32	0.24
2,000.00	498.00	1,502.00	83.19	7.51	-17.58	0.25	0.00
3,500.00	1,115.00	2,385.00	5.01	40.31	70.02	0.32	0.34
8,000.00		413.00	-106.79	-50.89	130.55	0.76	0.03
2,800.00	Active	898.00	-71.94	88.08	327.28	0.65	0.09
2,200.00	All (Plain)	816.00	-3.90	-8.88	-21.37	0.72	0.04
15,400.00	By Liquidity Source	178.00	-0.92	15.83	24.12	0.34	0.05
10,010.00	By Wave	853.00	-11.87	-5.94	76.28	0.93	0.07
	Cancelled						
	Liquidity Source Sum						
	Rejected						

Outbox Blotter

Blotter Name Active

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPA.L	100,000.00	50,000.00

Start

11:45 AM

Newport

File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
- MAM
  - AUD
  - JPY
  - USD
  - NOK
  - EUR
  - GBP
- SSGA
  - USD

Inbox Blotter

Blotter Name New Requests

Accept Reject

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,490.00
New	S	MAM	DTEGn.DE	10,000.00

Blotter

Blotter Name Plain

Cancel Lock Unlock

1...	Client	Side	RicCode	Instinet	Description	Price	Str
AUD	MAM	B	CSLAX	CSLI	CSL LIMITED	34.15	
AUD	MAM	S	NCP.AX	NCP.I	NEWS CORP.	22.40	
AUD	MAM	S	QAN.AX	QAN.I	QANTAS	3.59	
AUD						60.23	
EUR	MAM	B	SAOM.PA	SAON.I	SAGEM SA EUR1	347.37	
EUR	MAM	B	NOK1V.HE	NOKA.I	NOKIA	57.93	
EUR	MAM	B	EOAG.DE	EOAG.I	E.ON AG	57.77	
EUR	MAM	B	LHA9.DE	LHA9.I	LUFTHANSA AG	27.10	
EUR	MAM	B	STM.PA	S6SF.I	STMICROELECTRON	70.44	
EUR	MAM	B	ASMI.AS	ASMI.I	ASM INTL	31.01	

Outbox Blotter

Blotter Name Active

Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	DD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	DD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WA003310LN	DD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WA003310LN	DD0039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start

Start In W p6 C: Ne Do

11:42 AM

Newport

File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
- MAM
  - AUD
  - JPY
  - USD
  - NOK
  - EUR
  - GBP
- SSGA
  - USD

Inbox Blotter

Blotter Name New Requests

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTEGn.DE	10,000.00

Blotter

Blotter Name Sells

Side	CCY	1. RicCode	Instinet	Description	2. Client	Price	Strike
S	JPY	4603.T	J4603	YAMANOCU...	MAM	5,399.57	5,400.00
S	JPY	6947.T	J6947	ZUKEN INC ...	MAM	2,789.06	2,809.00
S	JPY	7455.T	J7455	PARIS MIKI I...	MAM	6,226.25	6,192.30
S	JPY	7513.T	J7513	KOJIMA ...	MAM	3,969.67	3,936.91
S	JPY	7674.T	J7674	NINTENDO ...	MAM	17,406.89	17,398.18
S	JPY	8056.T	J8056	NIHON UNIS...	MAM	1,788.10	1,807.40
S	JPY	9854.T	J9854	KOEI CO LT...	MAM	3,528.14	3,553.70
S	JPY	9885.T	J9885	NISSHO ELE...	MAM	2,689.68	2,690.75
S	USD	AIG	AIG	AMERICAN I...	MAM	121.01	121.02
S	USD	AXP	AXP	AMERICAN ...	SSGA	56.44	56.51

Outbox Blotter

Blotter Name Active

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.O	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXD.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPA.L	100,000.00	50,000.00

Start [Icons] [MA] [Inb] [Wor] [Sp6 21x] [G:] [New...] [Doc...] [N:OV] [11:42 AM]

Newport

File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
- MAM
  - AUD
  - JPY
  - USD
  - NOK
  - EUR
  - GBP
- SSGA
  - USD

Inbox Blotter

Blotter Name All (Plain)

Accept Reject

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTEGn.DE	10,000.00

Blotter

Blotter Name Buys

Cancel Look Unlock

Side	CCY	1. RicC...	Instinet	Description	2. Client	Price	Strike
B	AUD	CSLAX	CSLI	CSL LIMITED	MAM	34.15	34.34
						34.15	34.34

Outbox Blotter

Blotter Name Active

Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.O	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXD.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start

MA... Insti... Wor... ps\_1x C:\... New... Doc... 11:23 AM

Newport

File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
  - MAM
    - AUD
    - JPY
    - USD
    - NOK
    - EUR
    - GBP
    - SSCA
      - USD

Inbox Blotter

Blotter Name All (Plain)

Accept Reject

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTEGn.DE	10,000.00

Blotter

Blotter Name Buys

Cancel Look Unlock

Side	CCY	1. Ric C...	Instinet	Description	2. Client	Price	Strike
						0.00	0.00

Outbox Blotter

Blotter Name Active

Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start

IMA Inst Wor po\_1x C: New Doc

11:25 AM



Newport

File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
  - MAM
    - AUD
    - JPY
    - USD
    - NOK
    - EUR
    - GBP
  - SSGA
    - USD

Inbox Blotter

Blotter Name All (Plain)

Accept Reject

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTEGn.DE	10,000.00

Blotter

Blotter Name Buys

Cancel Look Unlock

Side	CCY	1. Ric...	Instinet	Description	2. Client	Price	Strike
						0.00	0.00

Outbox Blotter

Blotter Name Active

Cancel Revise Hold...

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WAD003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WAD003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WAD003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WAD003310LN	OD0039216LO	SELL	LSE	ACTIVE	BPA.L	100,000.00	50,000.00

Start

MA... Insti... Wor... p6\_1x C: New... Doc... 11:25 AM

Newport

File Edit Search View Order Line Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel

By Side & Client

Orders

- All (Today)
  - B
    - MAM
  - S
    - MAM
    - SSGA

Inbox Blotter

Blotter Name All (Plain)

Accept Reject

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,480.00
New	S	MAM	DTEGn.DE	10,000.00

Blotter

Blotter Name Buys

Cancel Lock Unlock

Side	CCY	1. Ric...	Instinet	Description	2. Client	Price	Strike
B	JPY	4502.T	J4502	TAKEDA CHEMICAL #4502	MAM	6,467.37	6,449.39
B	JPY	8971.T	J8971	KYOCERA CORP #8971	MAM	17,339.43	17,439.85
B	JPY	8999.T	J8999	KOA CORP #8999	MAM	3,172.47	3,164.03
B	JPY	8401.T	J8401	TBS #8401	MAM	4,506.67	4,501.88
B	JPY	8982.T	J8982	MISUMI CORP #8982	MAM	10,809.01	10,773.42
B	GBP	AMT.L	AMT.L	AMSTRAD PLC	MAM	215.82	215.30
B	EUR	ASMI.L	ASMI.L	ASM INTL	MAM	31.01	31.00
B	GBP	BPA.L	BPA.L	BP AMOCO	MAM	599.93	597.16
B	AUD	CSLAX	CSL.I	CSL LIMITED	MAM	34.15	34.34
B	USD	DCFT.SI	DCFT.I	DATA CRAFT ASIA	MAM	7.70	7.69

Outbox Blotter

Blotter Name Active

Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXD.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPA.L	100,000.00	50,000.00

Start M Ins W D6 C Ne Do 11:28 AM

Newport

File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel

By Side & Client

Orders

- All (Today)
  - MAM
  - S
    - MAM
    - SSCA

Inbox Blotter

Blotter Name All (Plain)

Accept Reject

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,490.00
New	S	MAM	DIEGn.DE	10,000.00

Blotter

Blotter Name Buys

Cancel Lock Unlock

Side	CCY	1. Ric...	Instinet	Description	2. Client	Price	Strike
B	JPY	4502.T	J4502	TAKEDA CHEMICAL #4502	MAM	8,487.37	8,449.38
B	JPY	8971.T	J8971	KYOCERA CORP #8971	MAM	17,339.43	17,439.85
B	JPY	8999.T	J8999	KOA CORP #8999	MAM	3,172.47	3,164.03
B	JPY	9401.T	J9401	TBS #9401	MAM	4,508.67	4,501.68
B	JPY	9982.T	J9982	MISUMI CORP #9982	MAM	10,809.01	10,773.42
B	GBP	AMT.L	AMT.L	AMSTRAD PLC	MAM	215.62	215.30
B	EUR	ASMI.AS	ASMI.I	ASM INTL	MAM	31.01	31.00
B	GBP	BPAL	BPAL	BP AMOCO	MAM	599.93	597.16
B	AUD	CSLAX	CSLI	CSL LIMITED	MAM	34.15	34.34
B	USD	DCFT.SI	DCFT.I	DATA CRAFT ASIA	MAM	7.70	7.69

Outbox Blotter

Blotter Name Active

Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start

Ins W p6 C Ne Do

11:29 AM

Newport

File Edit Search View Order Line Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel

By Side & Client

Orders

- All (Today)
  - B
    - MAM
  - S
    - MAM
    - SSGA

Inbox Blotter

Blotter Name All (Plain)

Accept Reject

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTEGn.DE	10,000.00

Blotter

Blotter Name Buys

Cancel Look Unlock

Side	CCY	1. Ric...	Instinet	Description	2. Client	Price	Strike
						0.00	0.00

Outbox Blotter

Blotter Name Active

Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.O	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start

11:29 AM

Newport

File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel

By Side & Client

Orders

- All (Today)
  - B
    - MAM
  - S
    - MAM
    - SSGA

Inbox Blotter

Blotter Name All (Plain)

Accept Reject

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,400.00
New	S	MAM	BTECH.DE	10,000.00

Blotter

Blotter Name Buys

Cancel Lock Unlock

Side	CCY	1. Ric...	Instinet	Description	2. Client	Price	Strike
						0.00	0.00

Outbox Blotter

Blotter Name Active

Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start

11:30 AM





Newport
File Edit Search View Order-Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel
By Side & Currency

Orders
All (Today)
B
AUD
JPY
USD
NOK
EUR
GBP
S
AUD
JPY
USD
EUR
GBP

Inbox Blotter
Blotter Name All (Plain)
Accept Reject

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTEGn.DE	10,000.00

Blotter
Blotter Name Buys
Cancel Lock Unlock

Side	CCY	1. Ric...	Instinet	Description	2. Client	Price	Strike
						0.00	0.00

Outbox Blotter
Blotter Name Active
Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WAD03310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WAD03310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WAD03310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WAD03310LN	OD0039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start
M
Ins
W
p6
C
Ne
Do
11:34 AM



Newport

File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel Custom...

Orders

- All (Today)
  - B
    - AUD
    - JPY
    - USD
    - NOK
    - EUR
    - GBP
  - S
    - AUD
    - JPY
    - USD
    - EUR
    - GBP

Inbox Blotter

Blotter Name All (Plain)

Accept Reject

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,400.00
New	S	MAM	D.TEGn.DE	10,000.00

Blotter

Blotter Name Buys

Cancel Lock Unlock

Side	CCY	1. Ric...	Instinet	Description	2. Client	Price	Strike
						0.00	0.00

Outbox Blotter

Blotter Name Active

Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start

11:37 AM

The screenshot displays the Newport software interface, which includes several key components:

- Main Menu:** File, Edit, Search, View, Order Line Item, Pre-Trade, Post-Trade, Help, Window.
- Navigation Panel:** Contains icons for Executions, Log Trace, Instinet Book, and other functions.
- Inbox Blotter:** A window for monitoring incoming orders. It features a toolbar with icons for viewing and actions like Accept and Reject. The main area shows columns for Event, Side, Client, RIC, and Quantity.
- Executions:** A window displaying executed trades. It includes a toolbar with icons for viewing and actions like Query. The main area shows columns for Time, Order Ref, Exec Ref, Exec Type, Side, Order ID, Exec Qty, Last Px, and Cum Qty.
- Outbox Blotter:** A window for monitoring outgoing orders. It features a toolbar with icons for viewing and actions like Cancel, Revise, and Hold. The main area shows columns for WaveID, OrderID, String, Liquidity So..., Status, RIC, Quantity, and Filled.

The interface also includes a status bar at the bottom with icons for Start, Stop, and other system functions, along with a clock showing the time as 11:51 AM.

Time	OrderRef	ExecRef	ExecType	Side	Order ID	ExecQty	LastPx	CumQty
11:02:20	IN399001L	0015P	New	B	RIC	1,000.00	646.50	1,000.00
11:02:24	IN399001L	0015P	New	B	Inst Code	4,000.00	648.50	5,000.00
11:04:20	IN399001L	0015P	New	B	Account	5,000.00	645.50	10,000.00
					Order Size	10,000.00	1,937.50	16,000.00

  

2,800.00	1,701.00	899.00	-71.94	66.08	327.26	0.65	0.09
2,200.00	1,584.00	616.00	-3.99	-8.88	21.37	0.72	0.04
15,400.00	5,221.00	10,179.00	-0.92	15.63	24.12	0.34	0.05
10,010.00	9,357.00	853.00	-11.87	-5.94	76.26	0.83	0.07

  

WaveID	OrderID	String	Liquidity So...	Status	RIC	Quantity	Filled
WAO03310LN	OD0039173LD	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WAO03310LN	OD0039177LD	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WAO03310LN	OD0039214LD	BUY	LSE	ACTIVE	GLXOL	500,000.00	100,000.00
WAO03310LN	OD0039215LD	SELL	LSE	ACTIVE	BPA.L	100,000.00	50,000.00

Newport

File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
- MAM
  - AU
  - JPY
  - USI
  - NO
  - EUR
  - GB
  - SSGA
  - USI

Inbox Blotter

Blotter Name New Requests

Accept Reject

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTEGn.DE	10,000.00

Log Trace

Auto Scroll

Category	Time	Message	Pct_Vol
View	11:28 AM	breakdownByColumns(): colCount=2 index=2	0.01
View	11:28 AM	breakdownByColumns(): colCount=2 index=2	0.01
View	11:28 AM	breakdownByColumns(): colCount=2 index=2	0.24
View	11:28 AM	breakdownByColumns(): colCount=2 index=2	0.00
View	11:28 AM	breakdownByColumns(): colCount=2 index=2	0.34
View	11:28 AM	breakdownByColumns(): colCount=2 index=2	0.03
View	11:28 AM	breakdownByColumns(): colCount=2 index=2	0.09
View	11:28 AM	breakdownByColumns(): colCount=2 index=2	0.04
View	11:28 AM	breakdownByColumns(): colCount=2 index=2	0.05
View	11:28 AM	breakdownByColumns(): colCount=2 index=2	0.07
View	11:28 AM	breakdownByColumns(): colCount=2 index=2	0.00
View	11:28 AM	breakdownByColumns(): colCount=2 index=1	
View	11:28 AM	<NewFilteredTabularView> reallocateIndexes() called on filter view	

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPA.L	100,000.00	50,000.00

Start

11:52 AM

Newport

File Edit Search View Order Line Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel

By Client & Currency Lookup BTL .00

Orders

- All (Today)
  - MAM
    - AUD
    - JPY
    - USD
    - NOK
    - EUR
    - GBP
  - SSGA
    - USD

Buy	1,000.00	19.12	INST	Sell	100.00	24.16	TP
Buy	250.00	19.28	TP	Sell	8,920.00	24.50	INST
Buy	100.00	19.46	INST	Sell	10,000.00	24.75	TP
Buy	200.00	19.75	TP	Sell	1,000.00	25.00	LSE
Buy	200.00	21.14	LSE	Sell	4,030.00	25.00	TP
Buy	200.00	21.60	LSE	Sell	1,000.00	25.42	TP
Buy	100.00	21.97	LSE	Sell	2,900.00	26.24	INST
Buy	1,000.00	22.45	LSE	Sell	100.00	26.26	LSE
Buy	1,000.00	22.81	LSE	Sell	2,000.00	26.97	INST
Buy	3,000.00	23.00	INST	Sell	200.00	27.73	INST
Buy	1,000.00	23.00	TP	Sell	19,200.00	27.97	TP
Buy	250.00	23.95	TP	Sell	100.00	27.97	INST
Buy	200.00	24.00	TP				
Buy	1,000.00	24.10	INST				
	9,500.00	305.63					

Pct_Val
0.01
0.01
0.24
0.00
0.34
0.03
0.09
0.04
0.05
0.07

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXQ.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start [Icons] [M] [In] [W] [Po] [C] [Ne] [Do]

NOV 9 11:53 AM

Newport

File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instant Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
- MAM
  - AUD
  - JPY
  - USD
  - NOK
  - EUR
  - GBP
- SSGA
  - USD

Inbox Blotter

Blotter Name New Requests

1. Event Side 2. C New blotter configuration quantity

New	B	UCRED	BT.L	3,400.00
New	S	MAM	D.TEGn.DE	10,000.00

Blotter

Blotter Name Sells

Nominal	Traded	Residual	Impact	Cost	Opport	Pct_Cmpl	Pct_Vol
13,000.00	12,148.00	852.00	-2.07	-8.08	-93.85	0.93	0.01
3,000.00	628.00	2,374.00	-74.50	-43.68	-35.57	0.21	0.01
27,000.00	8,885.00	18,315.00	64.83	4.17	-19.88	0.32	0.24
2,000.00	488.00	1,502.00	83.19	7.51	-17.56	0.25	0.00
3,500.00	1,115.00	2,385.00	5.01	40.31	70.02	0.32	0.34
8,000.00	4,587.00	1,413.00	-108.79	-50.89	130.55	0.78	0.03
2,800.00	1,701.00	899.00	-71.94	68.08	327.28	0.85	0.09
2,200.00	1,584.00	616.00	-3.99	-8.88	-21.37	0.72	0.04
15,400.00	5,221.00	10,179.00	-0.92	15.83	24.12	0.34	0.05
10,010.00	9,357.00	653.00	-11.67	-5.94	78.28	0.93	0.07

Outbox Blotter

Blotter Name Active

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.O	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXD.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start

11:56 AM

Newport

File Edit Search View Order Line Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instrument Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
  - MAM
    - AUD
    - JPY
    - USD
    - NOK
    - EUR
    - GBP
    - SSGA
      - USD

Inbox Blotter

Blotter Name New Requests

1. Event Side 2. Client Remove blotter configuration

New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTEgn.DE	10,000.00

Blotter

Blotter Name Sells

Nominal	Traded	Residual	Impact	Cost	Opport	Pct_Cmpl	Pct_Vol
13,000.00	12,148.00	852.00	-2.07	-8.09	-93.85	0.93	0.01
3,000.00	626.00	2,374.00	-74.50	-43.88	-35.57	0.21	0.01
27,000.00	8,885.00	18,315.00	54.83	4.17	-19.88	0.32	0.24
2,000.00	498.00	1,502.00	83.19	7.51	-17.56	0.25	0.00
3,500.00	1,115.00	2,385.00	5.01	49.31	70.02	0.32	0.34
6,000.00	4,587.00	1,413.00	-106.79	-50.89	130.55	0.76	0.03
2,600.00	1,701.00	899.00	-71.94	66.08	327.26	0.65	0.09
2,200.00	1,584.00	616.00	-3.99	-8.88	-21.37	0.72	0.04
15,400.00	5,221.00	10,179.00	-0.92	15.63	24.12	0.34	0.05
10,010.00	9,357.00	653.00	-11.87	-5.94	76.26	0.93	0.07

Outbox Blotter

Blotter Name Active

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	000039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	000039177LO	SELL	NASDAQ	ACTIVE	MSFT.O	500,000.00	100,000.00
WA003310LN	000039214LO	BUY	LSE	ACTIVE	GLXD.L	500,000.00	100,000.00
WA003310LN	000039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start [Icons] M... In... W... [Icons] C... Ne... Do... 11:56 AM

Newport

File Edit Search View Order Line Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
- MAM
  - AUD
  - JPY
  - USD
  - NOK
  - EUR
  - GBP
  - SSGA
  - USD

Inbox Blotter

Blotter Name New Requests

1. Event Side 2. Client Sort options Quantity

New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTEgn.DE	10,000.00

Blotter

Blotter Name Sells

Cancel Lock Unlock

Nominal	Traded	Residual	Impact	Cost	Opport	Pct_Cmpl	Pct_Vol
13,000.00	12,148.00	852.00	-2.07	-8.09	-93.85	0.93	0.01
3,000.00	626.00	2,374.00	-74.50	-43.68	-35.57	0.21	0.01
27,000.00	8,085.00	18,315.00	54.83	4.17	-19.88	0.32	0.24
2,000.00	498.00	1,502.00	83.19	7.61	-17.58	0.25	0.00
3,500.00	1,115.00	2,385.00	5.01	49.31	70.02	0.32	0.34
8,000.00	4,587.00	1,413.00	-108.79	-50.89	130.55	0.76	0.03
2,800.00	1,701.00	899.00	-71.94	68.08	327.28	0.65	0.09
2,200.00	1,584.00	616.00	-3.99	-8.68	-21.37	0.72	0.04
15,400.00	5,221.00	10,179.00	-0.92	15.63	24.12	0.34	0.05
10,010.00	9,357.00	653.00	-11.67	-5.94	76.26	0.93	0.07

Outbox Blotter

Blotter Name Active

Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start

11:56 AM

The screenshot displays the Newport software interface, which is used for managing financial orders and trades. The main window is titled "Newport" and includes a menu bar with options: File, Edit, Search, View, Order Line Item, Pre-Trade, Post-Trade, Help, and Window.

**Navigation Panel:** Located on the left, it shows a tree view of orders. The "Orders" section is expanded, showing a list of orders under "All (Today)". The "MAM" (Master Account Manager) order is selected, and its sub-orders are listed: AUD, JPY, USD, NOK, EUR, GBP, SSGA, and USD.

**Inbox Blotter:** This window displays a list of incoming orders. The "Blotter Name" is set to "New Requests". The table shows the following data:

1. Event	Side	2. Client	RIC	Select columns
New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTEgn.DE	10,000.00

**Outbox Blotter:** This window displays a list of outgoing orders. The "Blotter Name" is set to "Active". The table shows the following data:

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXOL	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPA.L	100,000.00	50,000.00

The bottom of the screen shows a taskbar with various icons, including a clock displaying 11:56 AM.





Newport

File Edit Search View Order Line Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instant Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
  - MAM
    - AUD
    - JPY
    - USD
    - NOK
    - EUR
    - GBP
  - SSGA
    - USD

Inbox Blotter

Blotter Name New Requests

1. Event	Side	2. Client	RIC	Quantity	Accept request
New	B	UCRED	BT.L	3,400.00	
New	S	MAM	DTEgn.DE	10,000.00	

Blotter

Blotter Name Sells

Nominal	Traded	Residual	Impact	Cost	Opport	Pct_Cmpl	Pct_Vol
13,000.00	12,148.00	852.00	-2.07	-8.09	-93.85	0.93	0.01
3,000.00	826.00	2,374.00	-74.50	-43.68	-35.57	0.21	0.01
27,000.00	8,685.00	18,315.00	64.83	4.17	-19.88	0.32	0.24
2,000.00	498.00	1,502.00	83.19	7.51	-17.58	0.25	0.00
3,500.00	1,115.00	2,385.00	5.01	49.31	70.02	0.32	0.34
6,000.00	4,587.00	1,413.00	-108.79	-50.89	130.55	0.76	0.03
2,800.00	1,701.00	899.00	-71.94	68.08	327.26	0.65	0.09
2,200.00	1,584.00	616.00	-3.89	-8.88	-21.37	0.72	0.04
15,400.00	5,221.00	10,179.00	-0.92	15.63	24.12	0.34	0.05
10,010.00	9,357.00	653.00	-11.67	-5.04	76.26	0.63	0.07

Outbox Blotter

Blotter Name Active

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	60,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.O	600,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXD.L	600,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start

11:57 AM

Newport
File Edit Search View Order Line Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instant Book

Navigation Pane
By Client & Currency

Orders
All (Today)
MAM
AUD
JPY
USD
NOK
EUR
GBP
SSGA
USD

Inbox Blotter
Blotter Name New Requests
Accept Reject

1. Event	Side	2. Client	RIC	Quantity	Reject request
New	B	UCRED	BT.L	3,400.00	
New	S	MAM	DTEGn.DE	10,000.00	

Blotter
Blotter Name Sells
Cancel Lock Unlock

Nominal	Traded	Residual	Impact	Cost	Opport	Pct_Cmpl	Pct_Vol
13,000.00	12,149.00	852.00	-2.07	-8.00	-93.85	0.93	0.01
3,000.00	626.00	2,374.00	-74.50	-43.68	-35.57	0.21	0.01
27,000.00	8,685.00	18,315.00	54.83	4.17	-19.88	0.32	0.24
2,000.00	498.00	1,502.00	83.19	7.51	-17.56	0.25	0.00
3,500.00	1,115.00	2,385.00	5.01	49.31	70.02	0.32	0.34
6,000.00	4,587.00	1,413.00	-109.79	-50.89	130.65	0.76	0.03
2,800.00	1,701.00	899.00	-71.94	66.08	327.26	0.65	0.09
2,200.00	1,584.00	816.00	-3.99	-8.88	-21.37	0.72	0.04
15,400.00	5,221.00	10,179.00	-0.92	15.83	24.12	0.34	0.05
10,010.00	9,357.00	653.00	-11.87	-5.94	76.28	0.93	0.07

Outbox Blotter
Blotter Name Active
Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.O	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXD.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPA.L	100,000.00	50,000.00

Start
M In W p C Ne Do
NY 11:57 AM



Newport

File Edit Search View Order Line Item Pre-Trade Post-Trade Help Window

Cut Copy Paste Delete Select all Select by criteria... Rules

- AUD
- JPY
- USD
- NOK
- EUR
- GBP
- SSGA
- USD

Inbox Blotter

Blotter Name New Requests

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTEGn.DE	10,000.00

Blotter

Blotter Name Sells

Nominal	Traded	Residual	Impact	Cost	Opport	Pct_Cmpl	Pct_Vol
13,000.00	12,148.00	852.00	-2.07	-8.09	-83.85	0.03	0.01
3,000.00	626.00	2,374.00	-74.50	-43.68	-35.57	0.21	0.01
27,000.00	8,685.00	18,315.00	54.83	4.17	-19.86	0.32	0.24
2,000.00	498.00	1,502.00	83.19	7.51	-17.56	0.25	0.00
3,500.00	1,115.00	2,385.00	5.04	49.31	70.02	0.32	0.34
6,000.00	4,587.00	1,413.00	-106.79	-50.89	130.55	0.78	0.03
2,800.00	1,701.00	899.00	-71.94	66.08	327.28	0.85	0.09
2,200.00	1,584.00	616.00	-3.99	-8.86	-21.37	0.72	0.04
15,400.00	5,221.00	10,179.00	-0.92	15.83	24.12	0.34	0.05
10,010.00	9,357.00	653.00	-11.87	-5.94	76.26	0.83	0.07

Outbox Blotter

Blotter Name Active

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WAD03310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WAD03310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WAD03310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXD.L	500,000.00	100,000.00
WAD03310LN	OD0039215LO	SELL	LSE	ACTIVE	BPA.L	100,000.00	50,000.00

Start [Icons] [IMA] [Inb] [Wor] [p6\_1x] [C:\...] [New] [Doc] [N] [Q] [12:02 PM]

Newport

File Edit Search View Order Line Item Pre-Trade Post-Trade Help Window

Find order  
Find security...  
Find account

By Client & Currency

Orders

- All (Today)
- MAM
  - AUD
  - JPY
  - USD
  - NOK
  - EUR
  - GBP
  - SSGA
  - USD

Inbox Blotter

Blotter Name New Requests

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTEGn.DE	10,000.00

Blotter

Blotter Name Sells

Nominal	Traded	Residual	Impact	Cost	Opport	Pct_Cmpl	Pct_Vol
13,000.00	12,148.00	852.00	-2.07	-8.09	-83.85	0.93	0.01
3,000.00	626.00	2,374.00	-74.50	-43.68	-35.57	0.21	0.01
27,000.00	8,885.00	18,315.00	54.83	4.17	-19.88	0.32	0.24
2,000.00	498.00	1,502.00	83.19	7.51	-17.56	0.25	0.00
3,500.00	1,115.00	2,385.00	5.01	49.31	70.02	0.32	0.34
6,000.00	4,587.00	1,413.00	-106.79	-50.89	130.55	0.76	0.03
2,800.00	1,701.00	899.00	-71.94	66.08	327.26	0.65	0.09
2,200.00	1,684.00	616.00	-3.99	-8.88	-21.37	0.72	0.04
15,400.00	5,221.00	10,179.00	-0.92	15.63	24.12	0.34	0.05
10,010.00	9,357.00	653.00	-11.67	-5.94	78.26	0.83	0.07

Outbox Blotter

Blotter Name Active

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.O	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXD.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPAL	100,000.00	50,000.00

Start [Icons] [MA] [Inb] [Wor] [ps-ix] [C:\] [New] [Doc] [N:\] 12:02 PM



Newport

File Edit Search View Order Line Item Pre-Trade Post-Trade Help Window

Executions

Navigation Panel

By Client & Currency

Orders

- All (Today)
  - MAM
    - AUD
    - JPY
    - USD
    - NOK
    - EUR
    - GBP
    - SSGA
      - USD

New order  
Cancel order  
Lock order  
Unlock order

Book

Order

New Requests

Accept

Reject

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTEGn.DE	10,000.00

Blotter

Blotter Name Sells

Nominal	Traded	Residual	Impact	Cost	Opport	Pct_Cmpl	Pct_Vol
13,000.00	12,148.00	852.00	-2.07	-8.09	-93.85	0.93	0.01
3,000.00	626.00	2,374.00	-74.50	-43.68	-35.57	0.21	0.01
27,000.00	8,885.00	18,315.00	54.83	4.17	-19.88	0.32	0.24
2,000.00	408.00	1,502.00	83.19	7.51	-17.56	0.26	0.00
3,500.00	1,115.00	2,385.00	5.01	49.31	70.02	0.32	0.34
6,000.00	4,587.00	1,413.00	-106.79	-50.89	130.55	0.76	0.03
2,600.00	1,701.00	899.00	-71.94	66.08	327.26	0.65	0.09
2,200.00	1,584.00	616.00	-3.99	-8.86	-21.37	0.72	0.04
15,400.00	5,221.00	10,179.00	-0.92	15.83	24.12	0.34	0.05
10,010.00	9,357.00	653.00	-11.87	-5.94	76.26	0.93	0.07

Outbox Blotter

Blotter Name Active

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WAO03310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WAO03310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WAO03310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WAO03310LN	OD0039215LO	SELL	LSE	ACTIVE	BPA.L	100,000.00	50,000.00

Start

MA

Inc

Work

p6

C

New

Doc

12:03 PM



Newport

File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Trading Instructions... Program summary...

Navigation Panel

By Client & Currency

Orders

- All (Today)
  - MAM
    - AUD
    - JPY
    - USD
    - NOK
    - EUR
    - GBP
    - SSGA
      - USD

Inbox Blotter

Blotter Name New Requests

Accept Reject

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,400.00
New	S	MAM	DIEGn.DE	10,000.00

Blotter

Blotter Name Sells

Cancel Lock Unlock

Nominal	Traded	Residual	Impact	Cost	Opport	Pct_Cmpl	Pct_Vol
13,000.00	12,148.00	852.00	-2.07	-8.09	-93.85	0.63	0.01
3,000.00	626.00	2,374.00	-74.50	-43.68	-35.57	0.21	0.01
27,000.00	8,885.00	18,315.00	54.83	4.17	-19.88	0.32	0.24
2,000.00	408.00	1,502.00	83.19	7.61	-17.56	0.25	0.00
3,500.00	1,115.00	2,385.00	5.01	40.31	70.02	0.32	0.34
6,000.00	4,587.00	1,413.00	-108.79	-50.89	130.55	0.78	0.03
2,800.00	1,701.00	899.00	-71.94	66.08	327.26	0.65	0.09
2,200.00	1,584.00	616.00	-3.99	-8.88	-21.37	0.72	0.04
15,400.00	5,221.00	10,179.00	-0.92	15.63	24.12	0.34	0.05
10,010.00	9,357.00	653.00	-11.87	-5.94	76.26	0.93	0.07

Outbox Blotter

Blotter Name Active

Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	OD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	OD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WA003310LN	OD0039214LO	BUY	LSE	ACTIVE	GLXD.L	500,000.00	100,000.00
WA003310LN	OD0039215LO	SELL	LSE	ACTIVE	BPA.L	100,000.00	50,000.00

Start

MA Inb... Wor... p6\_1x C:\ New... Doc... NY 12:03 PM

Newport

File Edit Search View Order Line Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instant Book Generate confirms Allocate Warehouse

Navigation Panel

By Client & Currency

Orders

- All (Today)
  - MAM
    - AUD
    - JPY
    - USD
    - NOK
    - EUR
    - GBP
    - SSGA
    - USD

Inbox Blotter

Blotter Name New Requests

1. Event	Side	2. Client	RIC	Quantity
New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTEGn.DE	10,000.00

Blotter

Blotter Name Sells

Nominal	Traded	Residual	Impact	Cost	Opport	Pct_Cmpl	Pct_Vol
13,000.00	12,148.00	852.00	-2.07	-8.00	-93.85	0.93	0.01
3,000.00	626.00	2,374.00	-74.50	-43.68	-35.57	0.21	0.01
27,000.00	8,685.00	18,315.00	54.83	4.17	-19.88	0.32	0.24
2,000.00	408.00	1,592.00	83.19	7.51	-17.58	0.25	0.00
3,500.00	1,115.00	2,385.00	5.01	46.31	70.02	0.32	0.34
6,000.00	4,587.00	1,413.00	-106.79	-50.89	130.55	0.76	0.03
2,800.00	1,701.00	899.00	-71.94	66.08	327.26	0.65	0.09
2,200.00	1,584.00	616.00	-3.99	-8.88	-21.37	0.72	0.04
15,400.00	5,221.00	10,179.00	-0.92	15.63	24.12	0.34	0.05
10,010.00	9,357.00	653.00	-11.87	-5.94	76.26	0.93	0.07

Outbox Blotter

Blotter Name Active

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	000039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	000039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WA003310LN	000039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WA003310LN	000039215LO	SELL	LSE	ACTIVE	BPA.L	100,000.00	50,000.00

Start [Icons] [IMA] [Inb] [Wor] [p61x] [C:\] [New] [Doc] [N] [TV] [Q] [12:04 PM]

Newport

File Edit Search View Order Line Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book About

Navigation Panel

By Client & Currency

Orders

- All (Today)
- MAM
  - AUD
  - JPY
  - USD
  - NOK
  - EUR
  - GBP
  - SSGA
  - USD

Inbox Blotter

Blotter Name New Requests

1. Event Side 2. Client RIC Quantity

New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTE&n.DE	10,000.00

Blotter

Blotter Name Sells

Cancel Lock Unlock

Nominal	Traded	Residual	Impact	Cost	Opport	Pct_Cmpl	Pct_Vol
13,000.00	12,149.00	852.00	-2.07	-8.09	-93.85	0.93	0.01
3,000.00	628.00	2,374.00	-74.50	-43.68	-35.57	0.21	0.01
27,000.00	8,885.00	18,315.00	54.83	4.17	-19.88	0.32	0.24
2,000.00	408.00	1,502.00	83.19	7.51	-17.58	0.25	0.00
3,500.00	1,115.00	2,385.00	5.01	40.31	70.02	0.32	0.34
8,000.00	4,587.00	1,413.00	-108.79	-50.89	130.55	0.78	0.03
2,800.00	1,701.00	899.00	-71.94	68.08	327.28	0.85	0.09
2,200.00	1,584.00	616.00	-3.89	-8.88	-21.37	0.72	0.04
15,400.00	5,221.00	10,179.00	-0.82	15.83	24.12	0.34	0.05
10,010.00	9,357.00	653.00	-11.87	-5.94	76.26	0.93	0.07

Outbox Blotter

Blotter Name Active

Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	000039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	000039177LO	SELL	NASDAQ	ACTIVE	MSFT.Q	500,000.00	100,000.00
WA003310LN	000039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WA003310LN	000039215LO	SELL	LSE	ACTIVE	BPA.L	100,000.00	50,000.00

Start

MA Inb Wor p6 Lx C New Doc NY 12:04 PM

Newport

File Edit Search View Order Line Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instant Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
- MAM
  - AUD
  - JPY
  - USD
  - NOK
  - EUR
  - GBP
  - SSGA
  - USD

Inbox Blotter

Blotter Name New Requests

1. Event Side 2. Client

New	B	UCRED	BT.L	3,400.00
New	S	MAM	DTEgn.DE	10,000.00

Blotter

Blotter Name Sells

Cancel Lock Unlock

Nominal	Traded	Residual	Impact	Cost	Opport	Pct_Cmpl	Pct_Vol
13,000.00	12,149.00	852.00	-2.07	-8.09	-93.85	0.93	0.01
3,000.00	626.00	2,374.00	-74.50	-43.68	-35.57	0.21	0.01
27,000.00	8,685.00	18,315.00	54.83	4.17	-19.88	0.32	0.24
2,000.00	408.00	1,502.00	83.19	7.51	-17.58	0.25	0.00
3,500.00	1,115.00	2,385.00	5.01	49.31	70.02	0.32	0.34
8,000.00	4,587.00	1,413.00	-106.79	-50.89	130.55	0.76	0.03
2,800.00	1,701.00	899.00	-71.94	66.08	327.26	0.85	0.09
2,200.00	1,584.00	616.00	-3.99	-8.86	-21.37	0.72	0.04
15,400.00	5,221.00	10,179.00	-0.92	15.63	24.12	0.34	0.05
10,010.00	9,357.00	653.00	-11.87	-5.94	76.26	0.93	0.07

Outbox Blotter

Blotter Name Active

Cancel Revise Hold

WaveID	OrderID	String	Liquidity_So...	Status	RIC	Quantity	Filled
WA003310LN	DD0039173LO	BUY	LSE	ACTIVE	BAY.L	100,000.00	50,000.00
WA003310LN	DD0039177LO	SELL	NASDAQ	ACTIVE	MSFT.O	500,000.00	100,000.00
WA003310LN	DD0039214LO	BUY	LSE	ACTIVE	GLXO.L	500,000.00	100,000.00
WA003310LN	DD0039215LO	SELL	LSE	ACTIVE	BPA.L	100,000.00	50,000.00

Start

MA Inc Wor ps 1x C New Doc N NY 12:04 PM

WordPerfect 10 - #391592v1 <NY\_MAIN> -Screen Shot for two nodes (unmodified)

File Edit View Insert Format Tools Window Help

Graphics

Newport

File Edit Search View Order Line-Item Pre-Trade Post-Trade Help Window

Executions Log Trace Instinet Book

Navigation Panel

By Client & Currency

Orders

- All (Today)
- MAM
  - ALD
  - JPY
  - USD
  - NOK
  - EUR
  - SSGA
  - USD

Inbox Blotter

Blotter Name All (Plain)

Accept Reject

Event	Side	Client	FI	Quantity
New	B	UCRED	BT L	3,400.00
New	S	MAM	DIEGn.DE	10,000.00

Blotter

Blotter Name Buys

Cancel Lock Unlock

Side	CCY	Symbol	Instinet	Description	Client	Price	Strike
B	JPY	4502.T	J4502	TAKEDA CHEMICAL #4502	MAM	6,407.37	6,440.38
B	JPY	6071.T	J6071	KYOCERA CORP #6071	MAM	17,339.43	17,439.85
B	JPY	6090.T	J6090	KDA CORP #6090	MAM	3,172.47	3,164.03
B	JPY	6401.T	J6401	TBS #6401	MAM	4,506.57	4,501.88
B	JPY	6062.T	J6062	MISUMI CORP #6062	MAM	10,809.01	10,773.42
B	GBP	AMT.L	AMT.L	AMSTRAD PLC	MAM	215.62	215.30
B	GBP	BPAL.L	BPAL	BP AMOCO	MAM	599.93	597.16

#391592v1 <NY\_MAIN>... Pg 1 Ln 1" Pos 1"

Start | Inbox... | Word... | MAN... | Corel... | Micros... | Scree... | 6:59 PM